



Business Requirements for for Change of Supplier

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A. About this document

This document is a Business Requirements Specification (BRS) for Change of Supplier process within the structuring process of the European energy market. The processes related to change of Supplier are a centrepiece of the Structuring process. In this BRS we use business terms for the actors, and we map them to the terms used in the Harmonised Role Model from ENTSO-E, ebIX® and EFET [3].

As a general introduction ebIX® has published a separate document “Introduction to ebIX® Business Requirements and Business Information Models” [4]. The introduction also includes the generic model elements that are not specific for a business process.

In line with UN/CEFACT Modelling Methodology version 2 (UMM-2) ebIX® defines the business requirements before starting the actual modelling. These requirements have been specified by the ebIX® Business Group (EBG) and are the basis for the Business Information Model, which is published, in a separate document.

The Business Information Model is in turn the basis for the creation of XML schema's and is the basis for the specification of web services. The Business Information Model and the syntax specific structures are specified by the “ebIX® Technical Committee” (ETC).

A.1. Comments to the document

These Business Requirements, as part of the ebIX® Model for the European Energy Market (see [4]), are made in a project with the members of EBG, see www.ebix.org. For comments to the document please contact the secretary@ebix.org.

A.2. References

A.2.1. Standards

- [1] UML Profile for UN/CEFACT's Modelling Methodology (UMM), Base Module 2.0., (<http://www.unece.org/tradewelcome/un-centre-for-trade-facilitation-and-e-business-uncefact/outputs/technical-specifications/uncefact-modelling-methodology-umm.html>)
- [2] UML Profile for UN/CEFACT's Modeling Methodology (UMM), Foundation Module, 2.0. (<http://www.unece.org/tradewelcome/un-centre-for-trade-facilitation-and-e-business-uncefact/outputs/technical-specifications/uncefact-modelling-methodology-umm.html>)
- [3] The Harmonized Role Model (for the Electricity Market) by ebIX®, ENTSO-E, and EFET (www.ebix.org)

A.2.2. ebIX® Documents

- [4] Introduction to ebIX® Business Requirements and Business Information Models (www.ebix.org)
- [5] Recommended Identification Schemes for the European Energy Market (www.ebix.org)
- [6] ebIX® code lists (www.ebix.org)

- [7] ebIX® BRS for Measure for Determine Meter Read (www.ebix.org)
- [8] ebIX® Business Requirements for Upfront Request for Accounting Point Characteristics (www.ebix.org)
- [9] ebIX® Business Requirements for Alignment of Accounting Point characteristics (www.ebix.org)

A.3. Main changes since last version

| Old | New | Clarification | Date |
|----------------------------|----------------|---------------|----------|
| Draft version 4.0.A | | | |
| | Recast of BRS. | | 20200120 |

1. Business Requirements View: Change of Supplier

1.1. Business Domain View: Change supplier (Business Process UseCase)

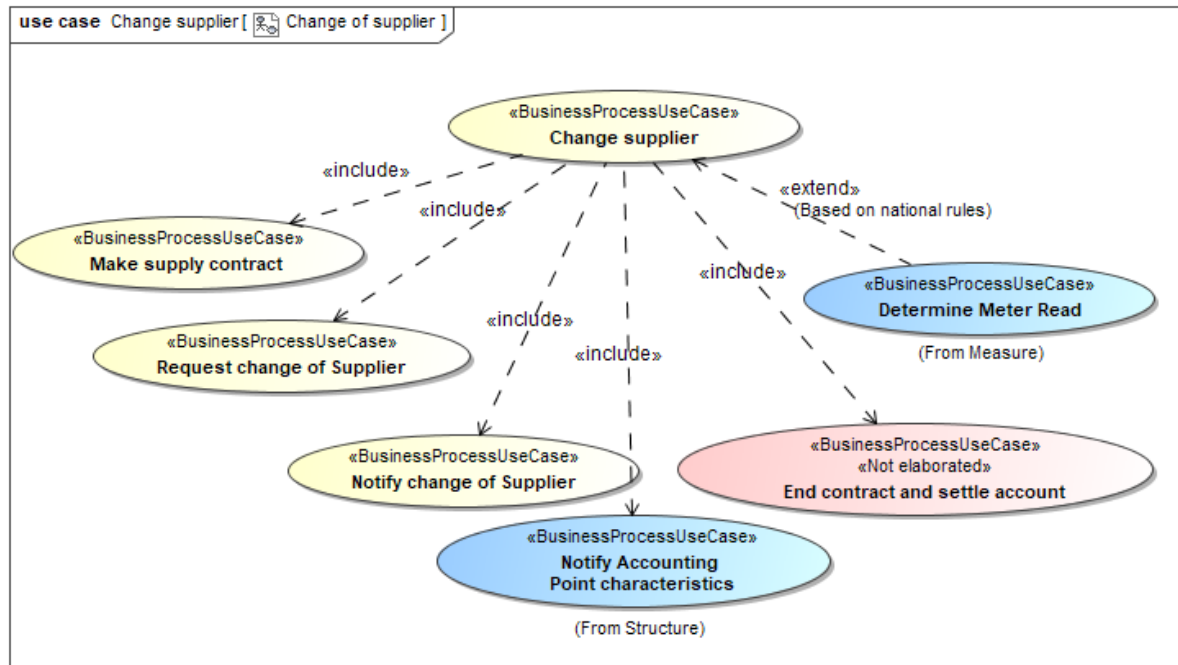


Figure 1 UseCase diagram: Change supplier

1.1.1. Description

| UseCase description: Change supplier | |
|--------------------------------------|---|
| definition | This is the process where an Energy Supplier (together with the Balance Responsible Party or Shipper) will be registered in the Metering Point administration as the New Energy Supplier for the Accounting Point. The Metering Point Administrator makes all necessary updates for the change of Supplier, notifies relevant parties including distribution of Accounting Point characteristics for alignment to the Linked Parties. |
| beginsWhen | When a Customer and a New Energy Supplier intend to close a contract and initiate a change of Supplier process. |
| preCondition | <p>The New Energy Supplier is registered as Energy Supplier.</p> <p>The New Energy Supplier can identify the Customer.</p> <p>The New Energy Supplier can identify the Accounting Point.</p> <p>The New energy Supplier has a contract with the Customer, i.e. the New Energy Supplier is consented.</p> |

| | |
|---------------|--|
| endsWhen | <p>When the Request change of Supplier is confirmed, and all relevant parties are informed about the change of Supplier and the Accounting Point characteristics have been distributed to Linked Parties</p> <p>Based on national rules, a meter read has been determined.</p> |
| postCondition | <p>The Customer has changed Energy Supplier for the Accounting Point and all Affected Parties have been informed and all Linked Parties received Accounting Point characteristics, including - based on national rules - determination and exchange of a meter read related to the change of Supplier.</p> |
| exceptions | <p>The contracting phase was aborted, or the Request change of Supplier is rejected, and the change of Supplier process is aborted.</p> |
| actions | <p>See 1.1.2</p> |

1.1.2. Business Process

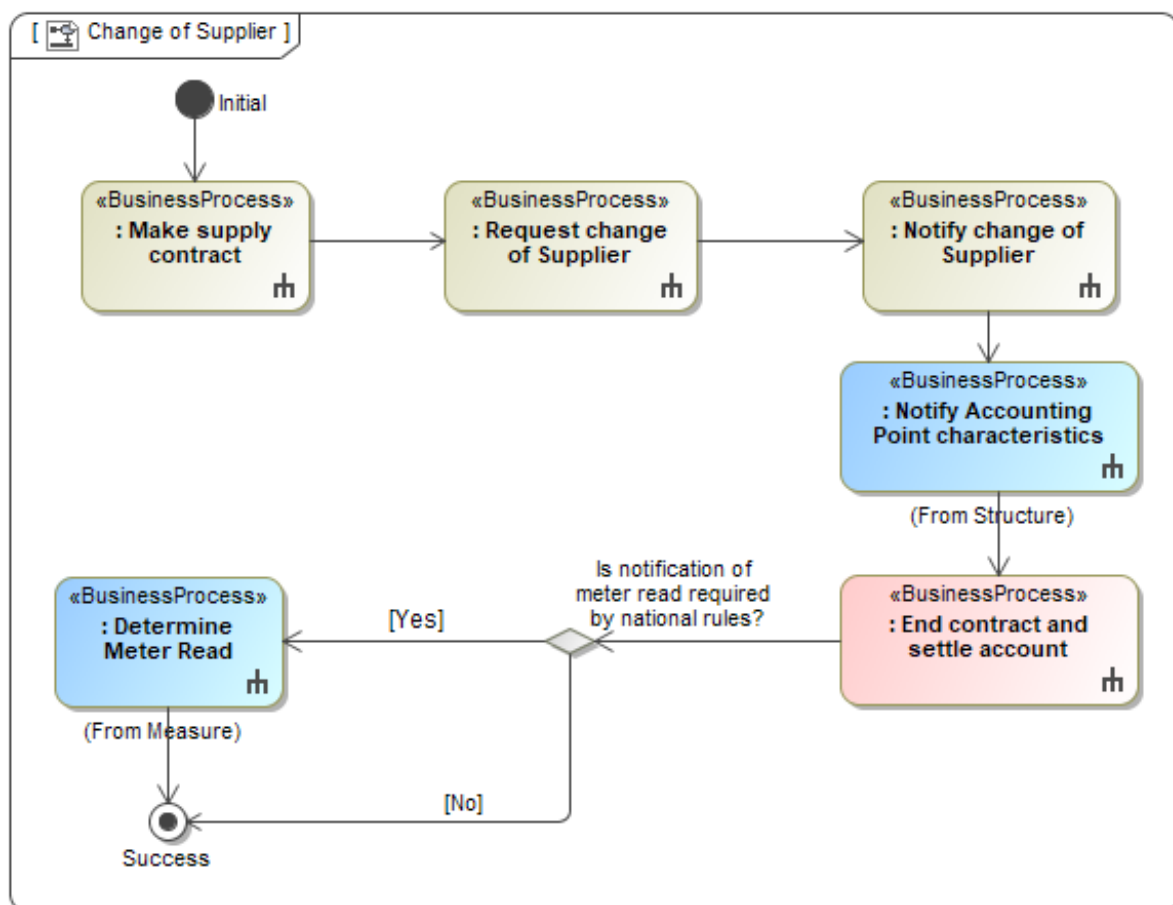


Figure 2 Business process: change of Supplier

1.1.3. Make supply contract

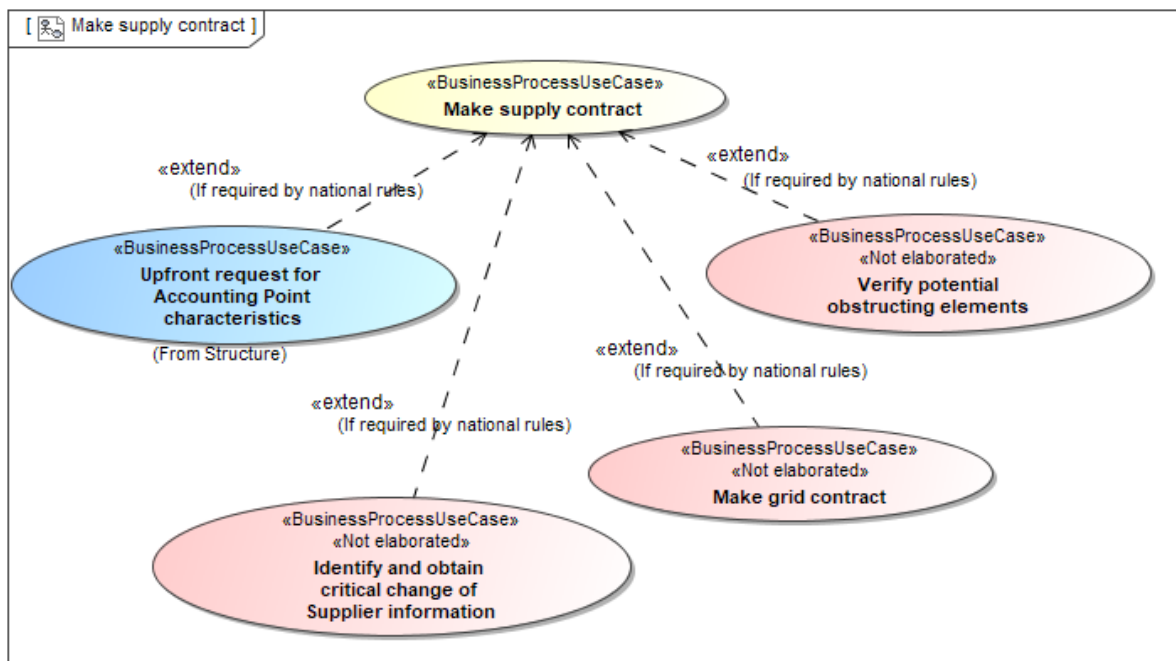


Figure 3 UseCase diagram: Make supply contract

1.1.3.1. Description

| UseCase description: Make supply contract | |
|---|--|
| definition | <p>In this process, a contract between the Customer and the New Energy Supplier is made for supply of energy. Therefore, the New Energy Supplier identifies the necessary Customer data (invoicing data) e.g. name, address, and the Accounting Point involved.</p> <p>If needed by national rules, the New Energy Supplier makes a grid usage contract with the Customer.</p> |
| beginsWhen | When a Customer and a New Energy Supplier intend to close a contract and initiate a make supply contract process as part of a change of Supplier process. |
| preCondition | <p>The New Energy Supplier can identify the Customer.</p> <p>The New Energy Supplier can identify the Accounting Point.</p> |
| endsWhen | When all requisites for making the supply-contract are fulfilled and a contract between the New Energy Supplier and the customer is settled. |

| | |
|---------------|--|
| postCondition | The Customer has a contract with the New Energy Supplier and the New Energy Supplier has the needed information and consent to Request change of Supplier for the Accounting Point. |
| exceptions | <p>The contracting phase may be aborted for some reason and the change of Supplier process stops.</p> <p>Based on national rules, pre-change checking and verification of contractual matters between the New and the Old (current) Energy Supplier are currently done in several of the countries participating in ebIX®.</p> |
| actions | See 1.1.3.2 |

1.1.3.2. Business Process

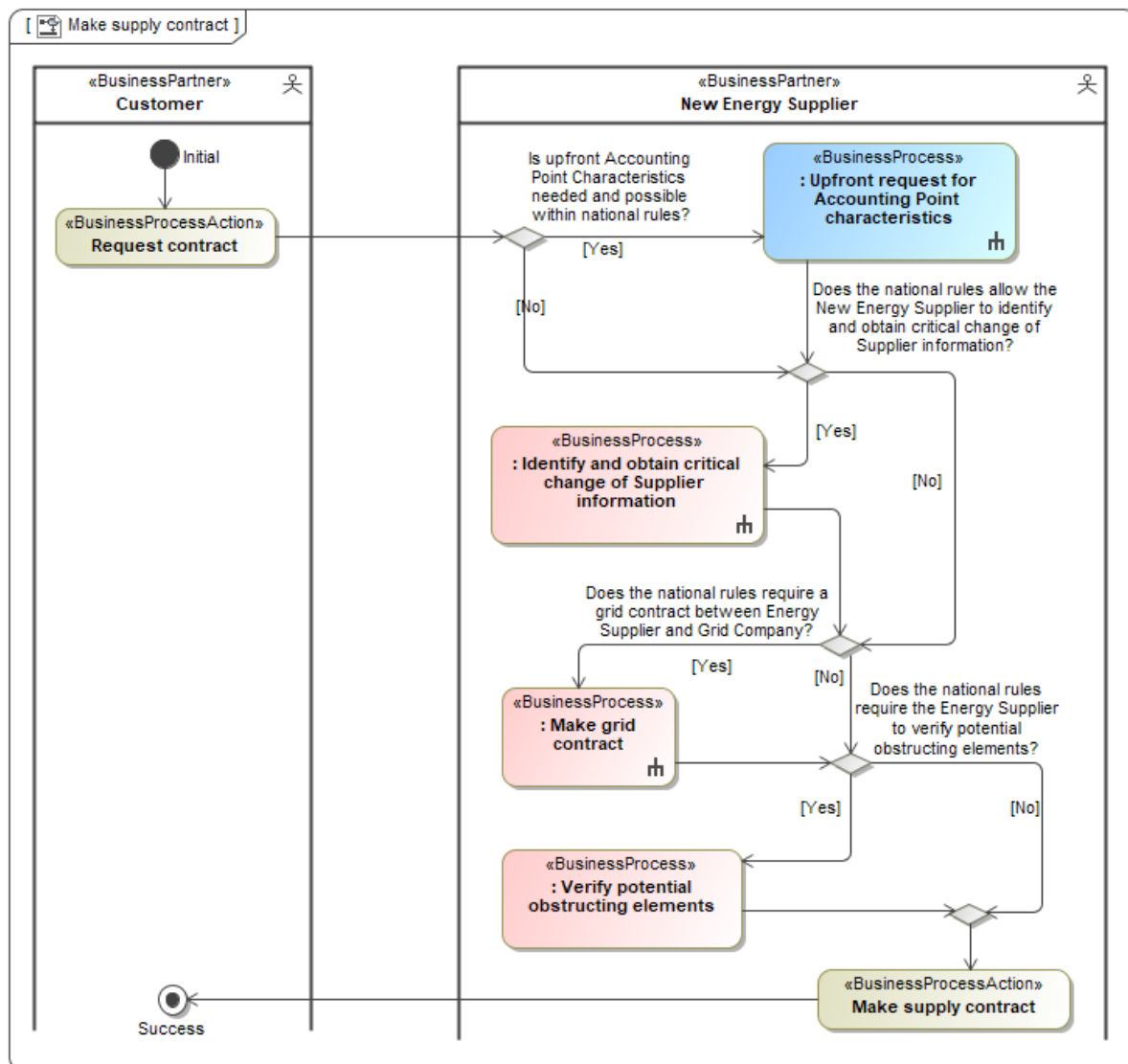


Figure 4 Business process: Make supply contract

1.1.3.3. Upfront Request for Accounting Point Characteristics (Business Process UseCase)

The New Energy Supplier may need (some of) the Accounting Point characteristics for settling the supply contract and sends a request for it to the Metering Point Administrator. This process is elaborated in a separate document, see ebIX BRS for upfront request for Accounting Point characteristics [8].

1.1.3.4. Identify and obtain critical change of Supplier information (Business Process UseCase)

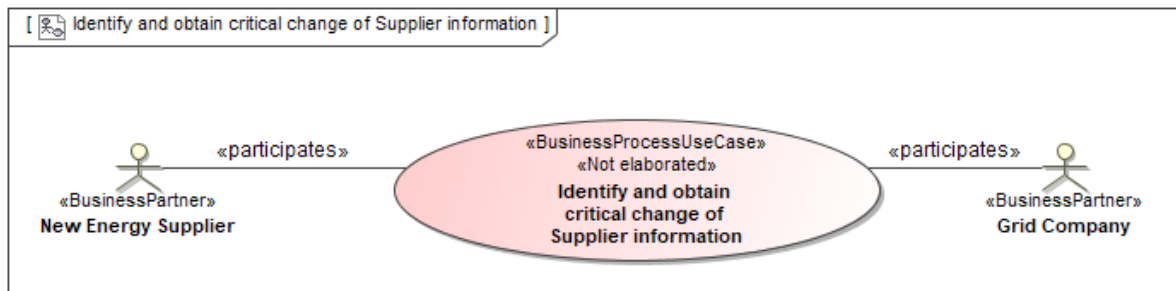


Figure 5 UseCase diagram: Identify and obtain critical change of Supplier information

1.1.3.4.1. Description

| UseCase description: Identify and obtain critical change of Supplier information | |
|--|---|
| definition | <p>In this process, the New Energy Supplier will identify and obtain the necessary change of Supplier information of the Accounting Point.</p> <p>Examples of data that may be identified and obtained:</p> <ul style="list-style-type: none"> • Metering Point Administrator; • Proposed change date; • Consumption data, e.g. estimated annual consumption and load profile; • Metering method. |
| beginsWhen | When settling a new supply contract, the New Energy Supplier will need to identify and obtain critical change of Supplier information. |
| preCondition | <p>The Accounting Point ID is available.</p> <p>The process is required by national rules.</p> |
| endsWhen | When the critical information is obtained by the New Energy Supplier. |
| postCondition | The critical information is obtained. |
| exceptions | None. |
| actions | There are no documents specified by ebIX® for this business process. The documents related to this process should be made nationally. |

1.1.3.5. Make grid contract

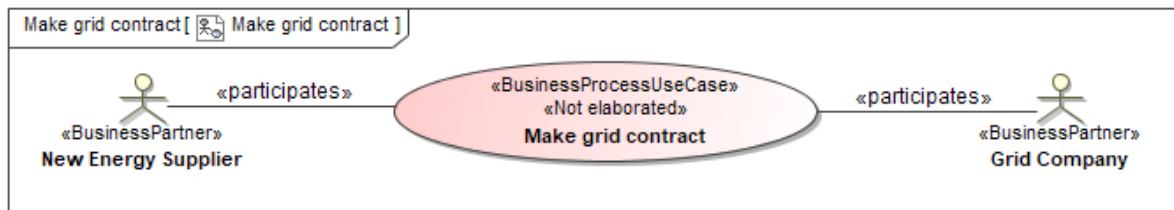


Figure 6 UseCase diagram: Make grid contract

1.1.3.5.1. Description

| UseCase description: Make grid contract | |
|---|---|
| definition | In this process, the New Energy Supplier makes a new grid contract with the Customer for the Accounting Point he is intending to supply to. |
| beginsWhen | When the New Energy Supplier needs to settle a new grid contract with the Grid Company for the Accounting Point. |
| preCondition | Accounting Point ID is known. National rules allow for making a new grid contract by the Energy Supplier. |
| endsWhen | When the grid contract for the Accounting Point is concluded by the Energy Supplier. |
| postCondition | The Grid Company and the Customer have a grid contract for the Accounting Point. |
| exceptions | None. |
| actions | Note that there are no documents or process specified by ebIX® for this UseCase. Process and documents related to this UseCase must be made nationally. |

1.1.3.6. Verify potential obstructing elements (Business Process UseCase)

The process Verify potential obstructing elements is implemented in different ways in different countries, involving different business partners. In some countries, this process is defined as a process between the Old and the New Energy Supplier. The process, if used, must be defined on a national level.

1.1.4. Request change of Supplier (Business Process UseCase)

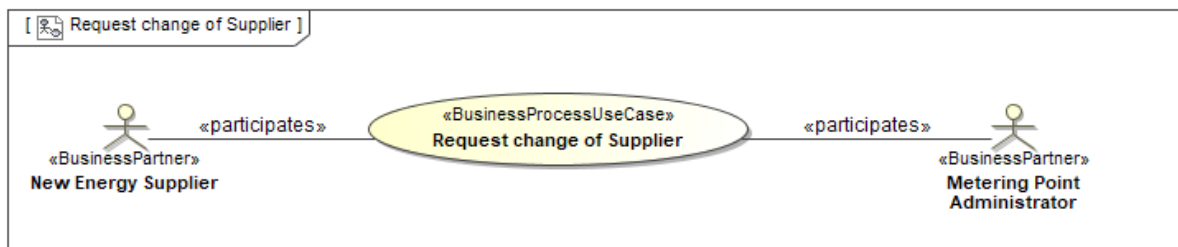


Figure 7 UseCase diagram: Request change of Supplier

1.1.4.1. Description

| UseCase description: Request change of Supplier | |
|---|---|
| definition | <p>In this process, the New Energy Supplier, on behalf of the Customer, requests to become the Energy Supplier for the Accounting Point and the Metering Point Administrator confirms it.</p> <p>As a consequence of the confirmed request, the Metering Point administration will be updated with the new Energy Supplier in due time.</p> |
| beginsWhen | When the New Energy Supplier decides to send a request for change of Supplier to the Metering Point Administrator, to achieve the intended start of supply date for the new supply contract. |
| preCondition | <p>A supply contract is in place¹, and therefore the Energy Supplier is consented for the Change of Supplier</p> <p>A start of supply date has been agreed with the Customer.</p> |
| endsWhen | When the Request change of Supplier is confirmed. |
| postCondition | The new Energy Supplier is confirmed to supply the Accounting Point from the requested start date onwards. |
| exceptions | The Metering Point Administrator rejects the request change of Supplier ² . |
| actions | See 1.1.4.2 |

¹ In some countries the New Energy Supplier, if applicable, has to wait for the so-called cooling-off period (regret period) before he can send the Request change of Supplier.

² Note that the Start Date should not be changed in the confirmation of change of supplier. If the Metering Point Administrator cannot accept the proposed switch date, the request should be rejected.

1.1.4.2. Business Process

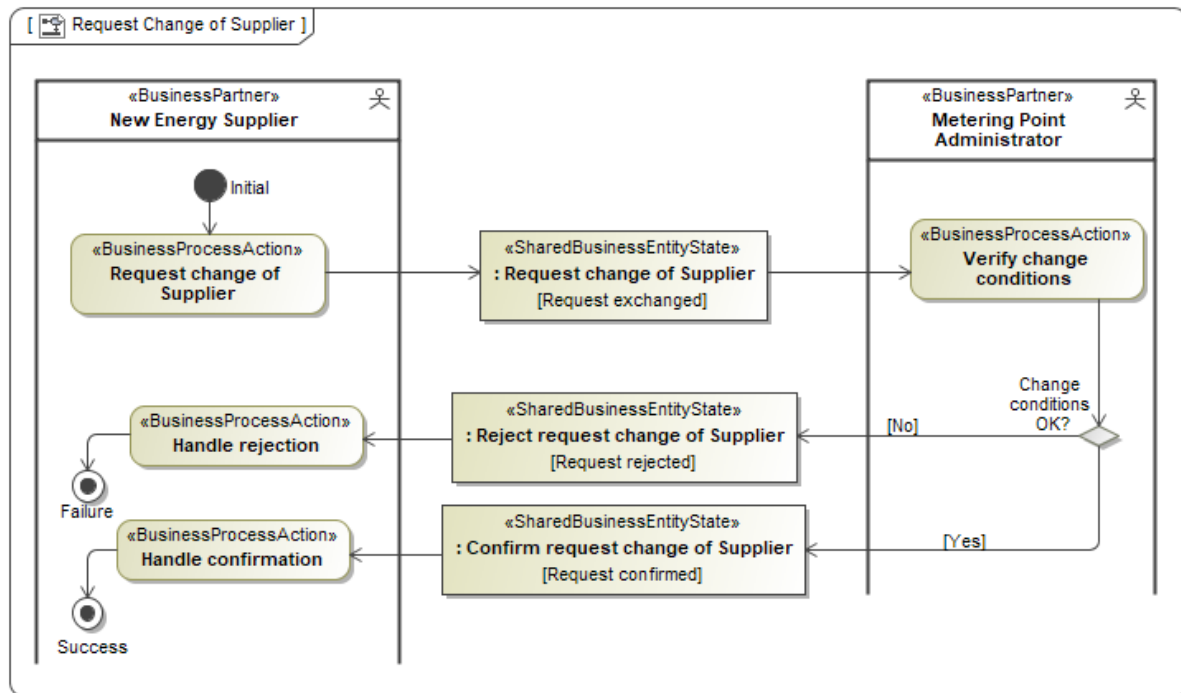


Figure 8 Business process: Request change of Supplier

1.1.5. Notify change of Supplier (Business Process UseCase)

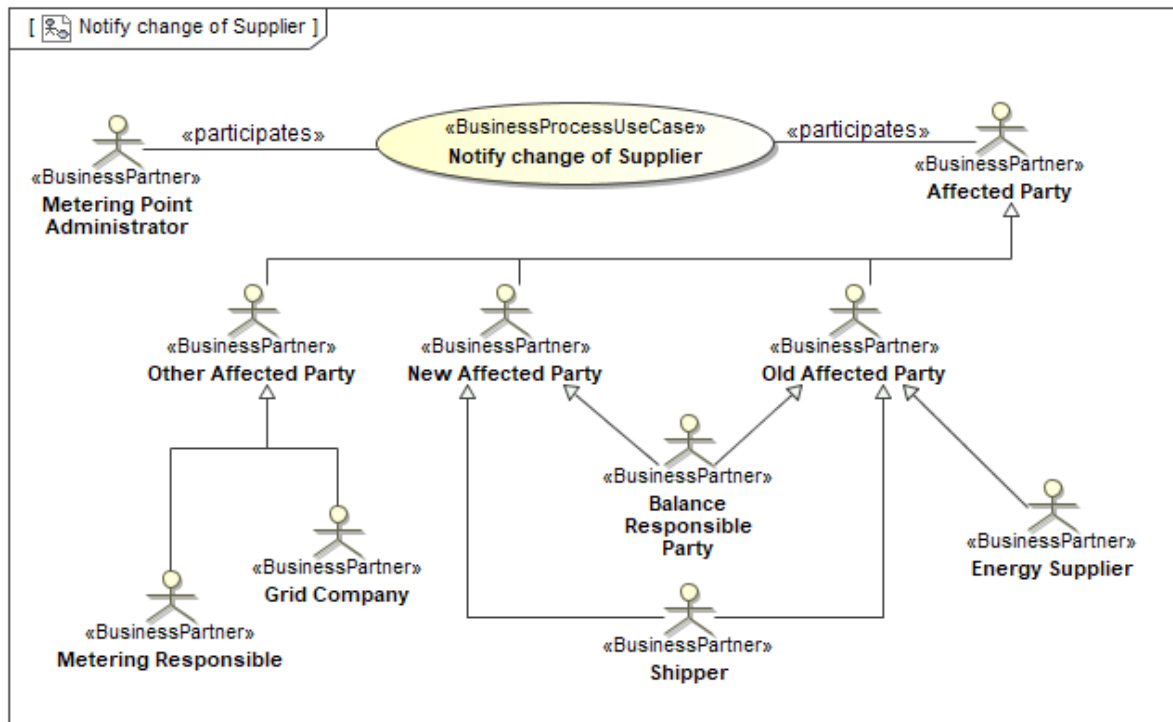


Figure 9 UseCase diagram: Notify change of Supplier

1.1.5.1. Description

| UseCase description: Notify change of Supplier | |
|--|---|
| definition | In this process, the Metering Point Administrator will notify the Affected Parties of the change of Supplier in the Accounting Point and the related change date. |
| beginsWhen | When the change of Supplier has been confirmed by the Metering Point Administrator. |
| preCondition | The change of Supplier has been confirmed by the Metering Point Administrator. |
| endsWhen | When the Affected Parties have received the notification |
| postCondition | The Affected Parties have been informed of the change of Supplier. |
| exceptions | If required by national rules the (Old and New) Balance Responsible Party or the (Old and New) Shipper may not be notified. |
| actions | See 1.1.5.2 |

1.1.5.2. Business Process

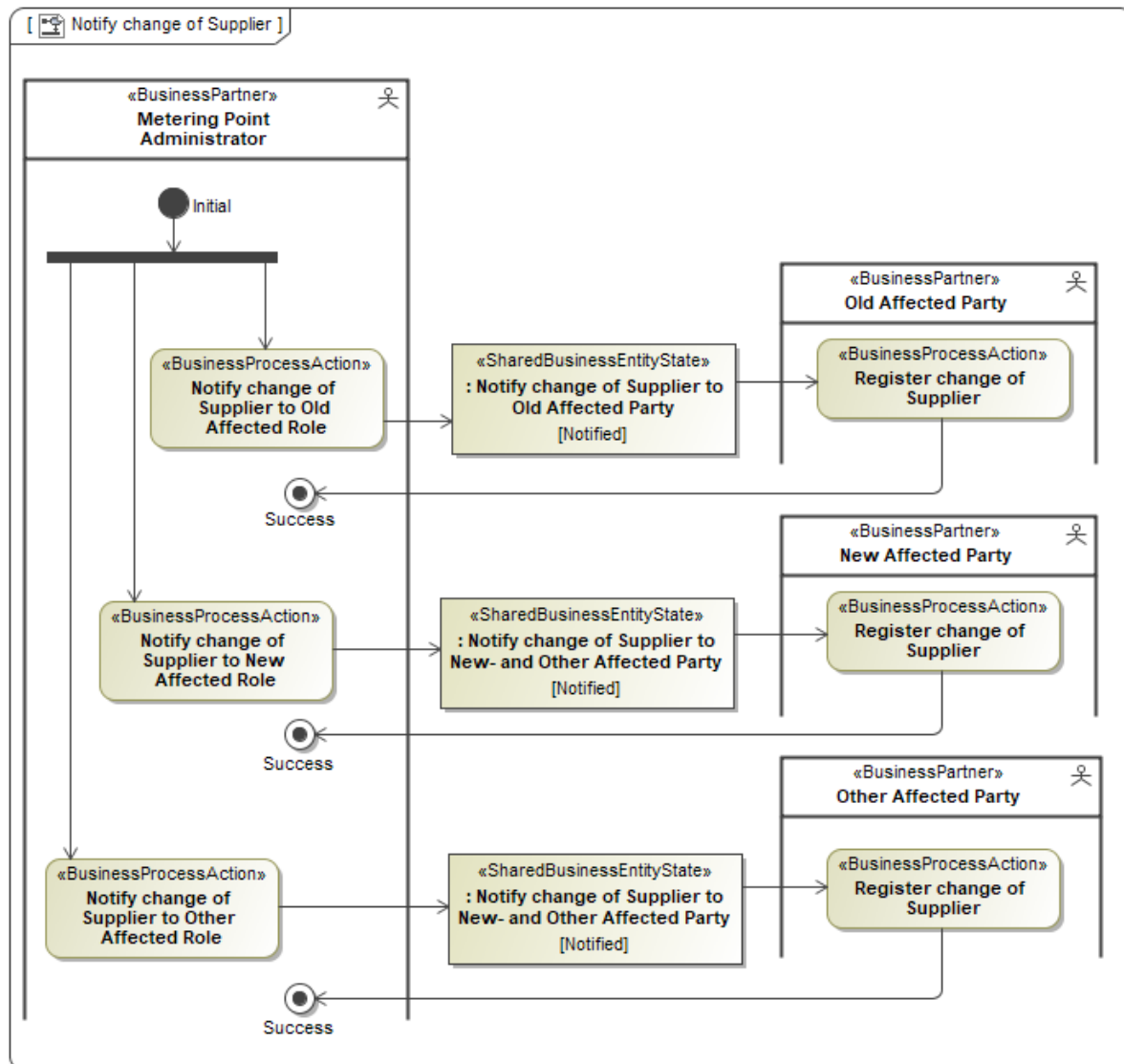


Figure 10 Business process: Notify change of Supplier

1.1.6. Notify Accounting Point Characteristics

In order for all Linked Parties to operate properly they will be notified with Accounting Point characteristics. *Notify Accounting Point Characteristics* is documented in a separate BRS, see [9]. In this BRS (BRS for alignment of Accounting Point characteristics), the Linked Parties are specified.

1.1.7. End contract and settle account

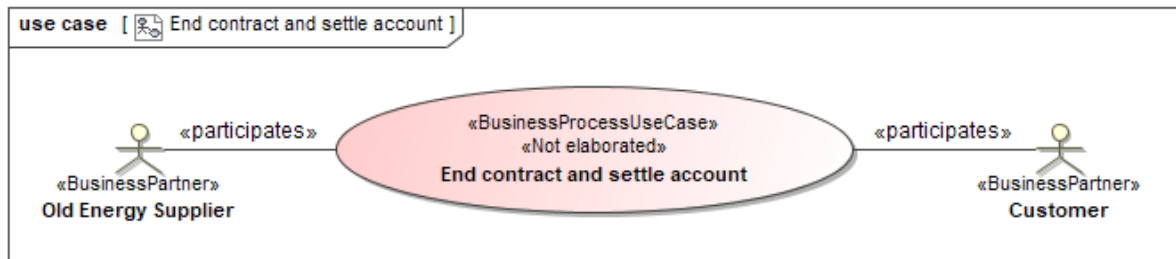


Figure 11 UseCase diagram: End contract and settle account

| UseCase description: End contract and settle account | |
|--|--|
| definition | In this process, the Old Energy Supplier settles the final account for the Accounting Point. |
| beginsWhen | The notification of end of supply has been received by the Old Energy Supplier. |
| preCondition | The Old Energy Supplier has the contract with the Customer for the Accounting Point. |
| endsWhen | The Old Energy Supplier has ended the contract with the Customer for the Accounting Point. |
| postCondition | The Customer and the Old Energy Supplier don't have a supply contract for the Accounting Point anymore. The Customer and the Old Energy Supplier have settled the final bill. |
| exceptions | None. |
| actions | The End contract and settle account is not run by standardised message exchange, hence this process is not further elaborated. |

1.1.8. Determine Meter Read

Based on national rules a Meter Read may have to be determined for the change of Supplier. The process Determine Meter Read is documented in the ebIX® model Measure [6]. Dependent on national rules the UseCase may only be used for profiled settled Accounting Points.

1.2. Business Partner View

1.2.1. Business Partners change of Supplier

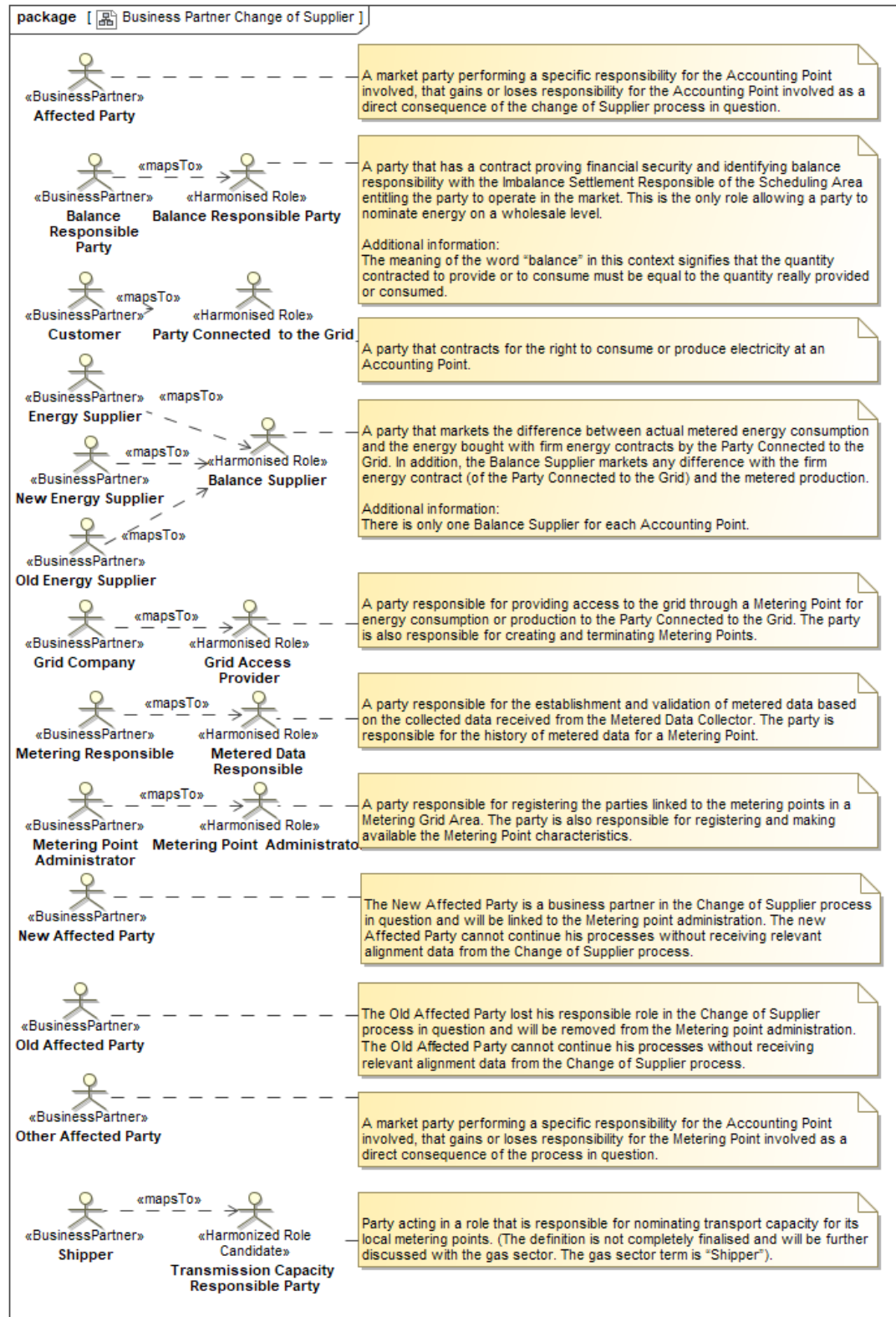


Figure 12 Business Partners for change of Supplier

1.3. Business Data View

A general introduction to the Business Data View can be found in the Introduction to ebIX® Business Requirements and Business Information Models (www.ebix.org), [4].

1.3.1. Request change of Supplier (Class Diagram)

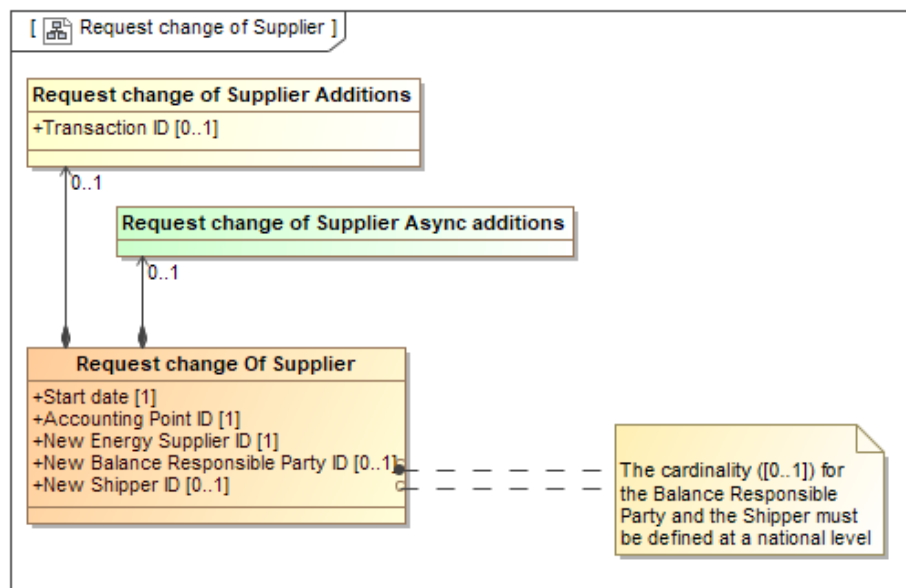


Figure 13 Class diagram: Request change of Supplier

1.3.1.1. Element definitions: Request change of Supplier

| | |
|--|--|
| «Business entity» Request change of Supplier | The information set to be sent by an Energy Supplier to the Metering Point Administrator when requesting a change of Supplier. |
| Start date | The date requested by the New Energy Supplier to take over the energy supply for this Accounting Point. |
| Accounting Point ID | The unique identification of the Accounting Point this Request change of Supplier is aimed for. |
| New Energy Supplier ID | The unique identification of the requesting Energy Supplier. |
| New Balance Responsible Party ID | The unique identification of the Balance Responsible Party for this Accounting Point, as requested by the New Energy Supplier. |
| New Shipper ID | The unique identification of the Shipper for this Accounting Point, as requested by the New Energy Supplier. |
| Request change of Supplier Async Additions | Additional information, related to the change of Supplier, needed when using asynchronous communication, however not used in this request. |
| Request change of Supplier Additions | Additional information, related to the change of Supplier to be agreed on a national level. |
| Transaction ID | The unique identification of this set of information given by the requesting Energy Supplier. |

1.3.2. Confirm request change of Supplier (Class Diagram)

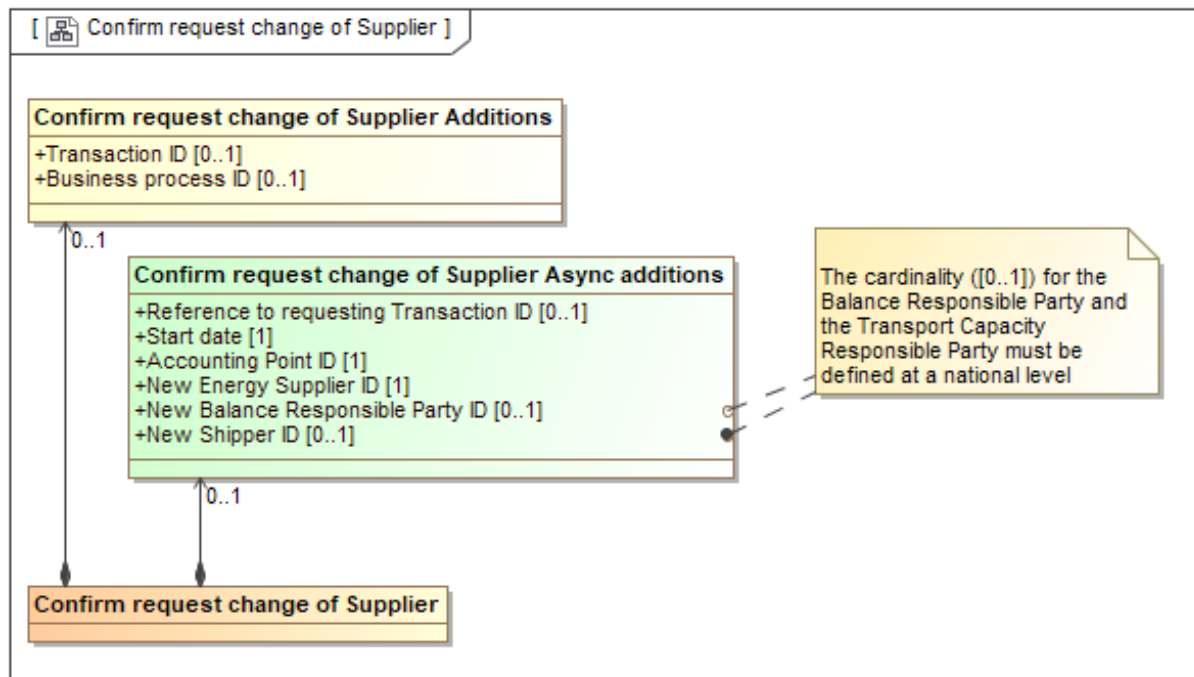


Figure 14 Class diagram: Confirm request change of Supplier

1.3.2.1. Element definitions: Confirm request change of Supplier

| | |
|---|--|
| «Business entity» Confirm request change of Supplier | The information set to be sent by the Metering Point Administrator to the requesting Energy Supplier to confirm this change of Supplier. |
| Confirm request change of Supplier Async Additions | Information related to the Confirmation change of Supplier, needed when using an asynchronous communication. |
| Reference to requesting Transaction ID | The Transaction ID from the request given by the requesting Energy Supplier. |
| Start date | The confirmed date for the New Energy Supplier to take over the energy supply for this Accounting Point. |
| Accounting Point ID | The unique identification of the Accounting Point the change of Supplier is confirmed for. |
| New Energy Supplier ID | The unique identification of the requesting New Energy Supplier. |
| New Balance Responsible Party ID | The unique identification of the confirmed Balance Responsible Party. |
| New Shipper ID | The unique identification of the confirmed Shipper. |
| Confirm request change of Supplier Additions | Information related to confirmation of change of Supplier to be agreed on a national level. |
| Transaction ID | The unique identification of this set of information given by the Metering Point Administrator. |

| | |
|---------------------|---|
| Business process ID | The unique identification, given by the Metering Point Administrator, of this requested change of Supplier process that this response is part of. |
|---------------------|---|

1.3.3. Reject request change of Supplier (Class Diagram)

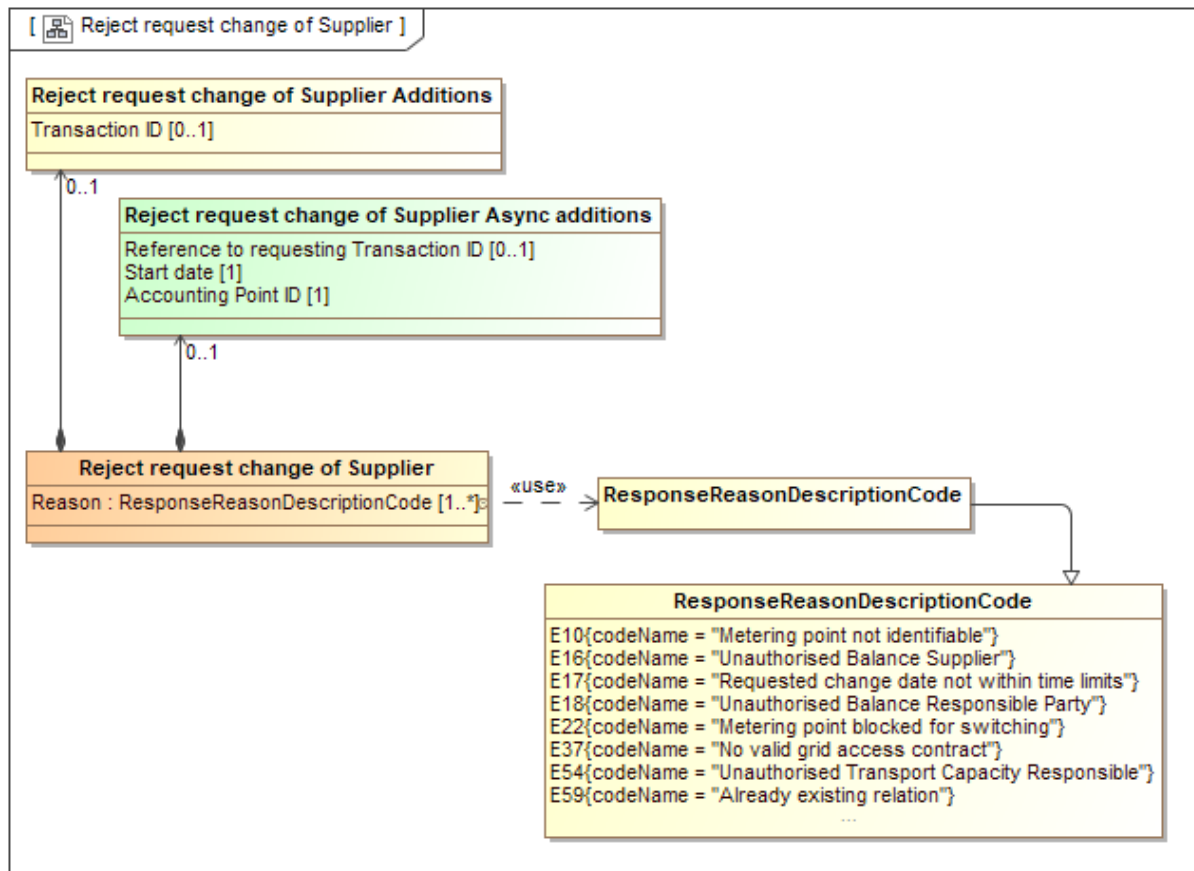


Figure 15 Class diagram: Reject request change of Supplier

1.3.3.1. Element definitions: Reject request change of Supplier

| | |
|--|---|
| «Business entity» Reject request change of Supplier | The information set sent by the Metering Point Administrator to the requesting Energy Supplier to reject this change of Supplier. |
| Reason | A code specifying (one of) the reason(s) for the rejection of the requested change of Supplier. |
| Reject request change of Supplier Async Additions | Additional information, related to the change of Supplier, needed when using asynchronous communication. |
| Reference to requesting Transaction ID | The Transaction ID from the request given by the requesting Energy Supplier. |
| Start date | The requested date for the change of Supplier that is rejected. |
| Accounting Point ID | The unique identification of the Accounting Point the change of Supplier is rejected for. |
| Reject request change of Supplier Additions | Information, related to rejection of change of Supplier, to be agreed on a national level. |

| | |
|----------------|---|
| Transaction ID | The unique identification of this set of information given by the Metering Point Administrator. |
|----------------|---|

1.3.4. Notify change of Supplier to Old Affected Party (Class Diagram)

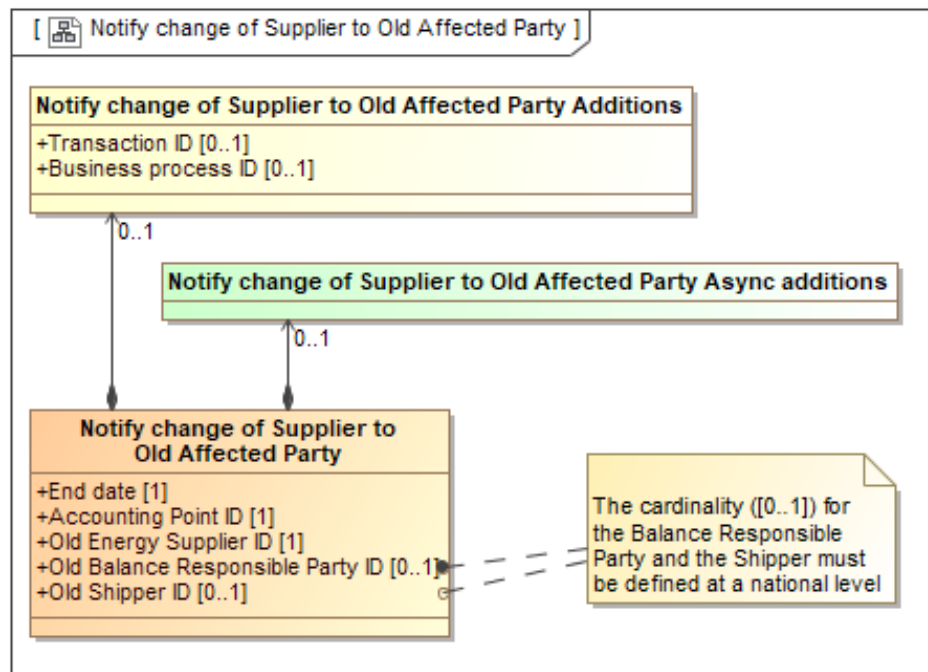


Figure 16 Class diagram: Notify change of Supplier to Old Affected Party

1.3.4.1. Element definitions: Notify change of Supplier to Old Affected Party

| | |
|---|---|
| «Business entity» Notify change of Supplier to Old Affected Party | The information set sent by the Metering Point Administrator to the Old Affected Party to notify this change of Supplier. |
| End date | The date from when the Old Affected Party no longer have the supply or balance responsibility for this Accounting Point. |
| Accounting Point ID | The unique identification of the Accounting Point the change of Supplier is notified for. |
| Old Energy Supplier ID | The unique identification of the Energy Supplier that is supplying the Accounting Point until the date for which this change of Supplier takes place. |
| Old Balance Responsible Party ID | The unique identification of the Balance Responsible Party that from the end date no longer has the balance responsibility for this Accounting Point. |
| Old Shipper ID | The unique identification of the Shipper that from the end date no longer has the transport capacity responsibility for this Accounting Point. |
| Notify change of Supplier to Old Affected Party Additions | Additional information, related to the notification of change of Supplier, to Old Affected Party, agreed on a national level. |
| Transaction ID | The unique identification of this set of information given by the Metering Point Administrator. |

| | |
|---|---|
| Business process ID | The unique identification, given by the Metering Point Administrator, of this change of Supplier process that this notification is a part of. |
| Notify change of Supplier to Old Affected Party Async Additions | Additional information, related to the Notify change of Supplier, to Old Affected Party, however not used in this notification. |

1.3.5. Notify change of Supplier to New- and Other Affected Party (Class Diagram)

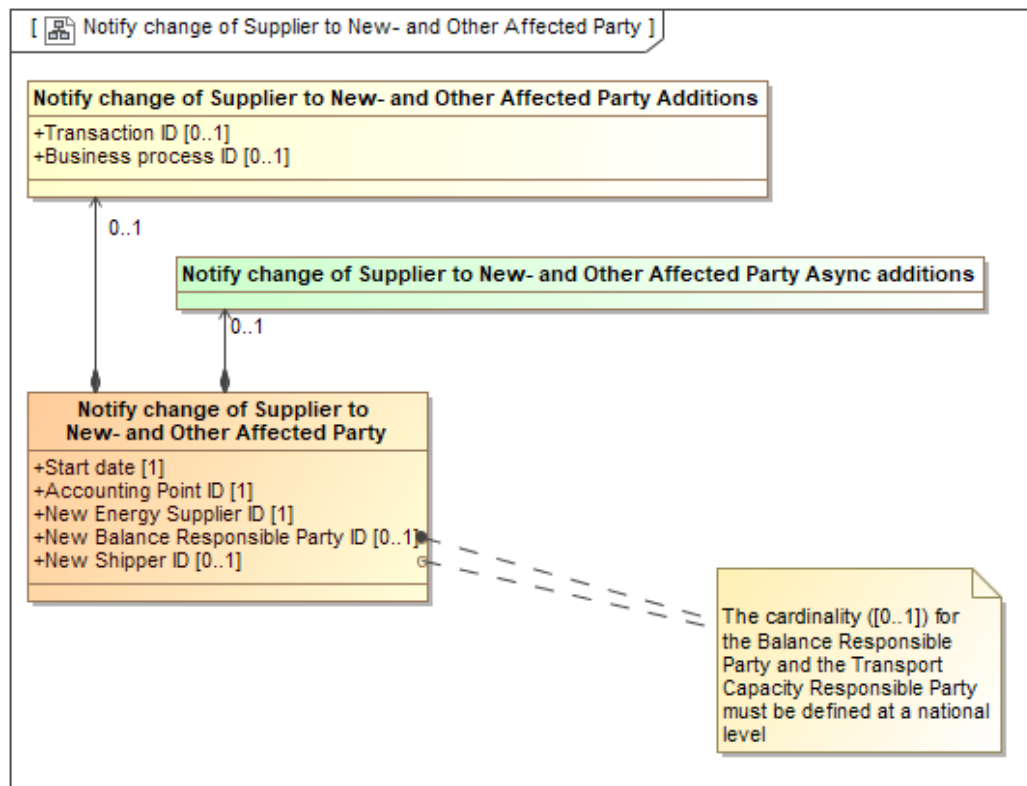


Figure 17 Class diagram: Notify change of Supplier to New- and Other Affected Party

1.3.5.1. Element definitions: Notify change of Supplier to New- and Other Affected Party

| | |
|--|---|
| «Business entity» Notify change of Supplier to New- and Other Affected Party | The information set sent by the Metering Point Administrator to the New- and Other Affected Party to notify this change of Supplier. |
| Start date | The date from which the New Energy Supplier and New Balance Responsible Party or Shipper take over the respective responsibilities for this Accounting Point. |
| Accounting Point ID | The unique identification of the Accounting Point the change of Supplier is notified for. |
| New Energy Supplier ID | The unique identification of the Energy Supplier that is supplying the Accounting Point from the start date. |
| New Balance Responsible Party ID | The unique identification of the Balance Responsible Party that takes over the balance responsibility for this Accounting Point from the start date. |

| | |
|--|---|
| New Shipper ID | The unique identification of the Shipper that takes over the transport capacity responsibility for this Accounting Point from the start date. |
| Notify change of Supplier to New- and Other Affected Party | Additional information, related to the notification of change of Supplier, to the New- and Other Affected Party, to be agreed on a national level. |
| Transaction ID | The unique identification of this set of information given by the Metering Point Administrator. |
| Business process ID | The unique identification of the instance of the change of Supplier process, given by the Metering Point Administrator, of this change of Supplier process that this notification is a part of. |
| Notify change of Supplier to New Balance Responsible Party and New Shipper | Additional information, related to the Notify change of Supplier, to the New- and Other Affected Party, when using asynchronous communication, however not used in this notification. |

Appendix A. Header and Context information for the class diagrams

A.1. Header and Context Information attributes definitions

| Class/attribute | Sector ³ | Description |
|---------------------------------|---------------------|---|
| Header and Context Information | | The set of information specifying the information to be added to this payload to enable the exchange as a document. |
| Document Type | | A code representing the document type used for the exchange of this set of information. |
| Business Reason | | A code representing the business reason for the exchange of this set of information. |
| Ancillary Business Process Role | | A code representing the market role taking part in this exchange together with the Responsible Role, responsible for the process/this exchange. |

A.2. Request change of Supplier

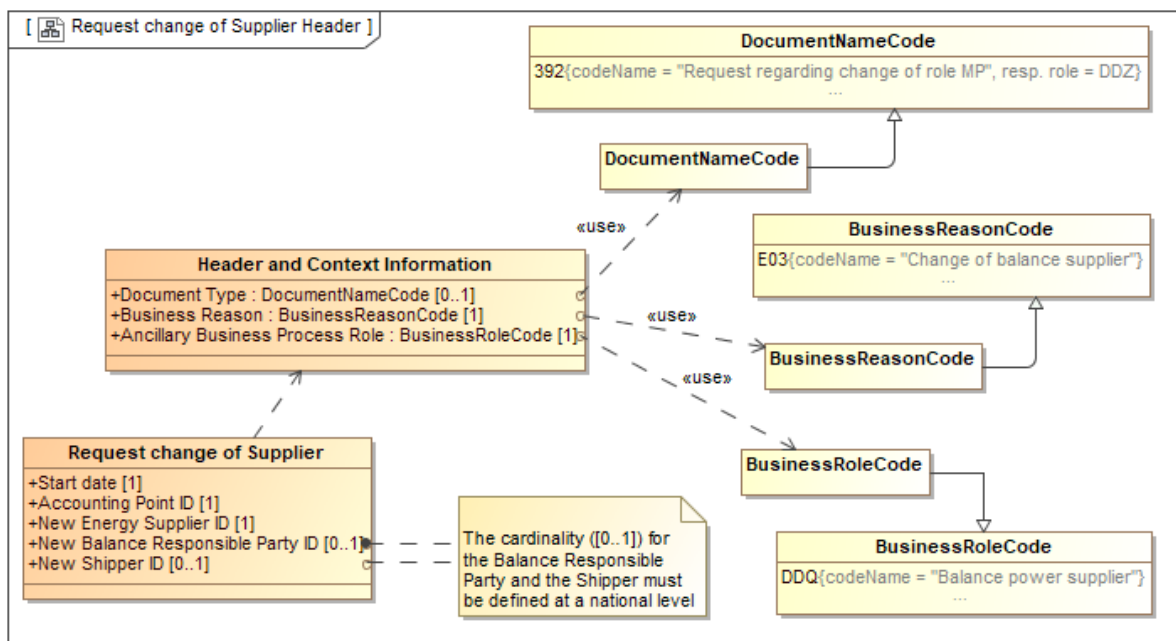


Figure 18 Class diagram: Header and Context information for request change of Supplier

³ It is assumed that Accounting Points are uniquely dedicated to either electricity or to gas.

A.3. Confirm request change of Supplier

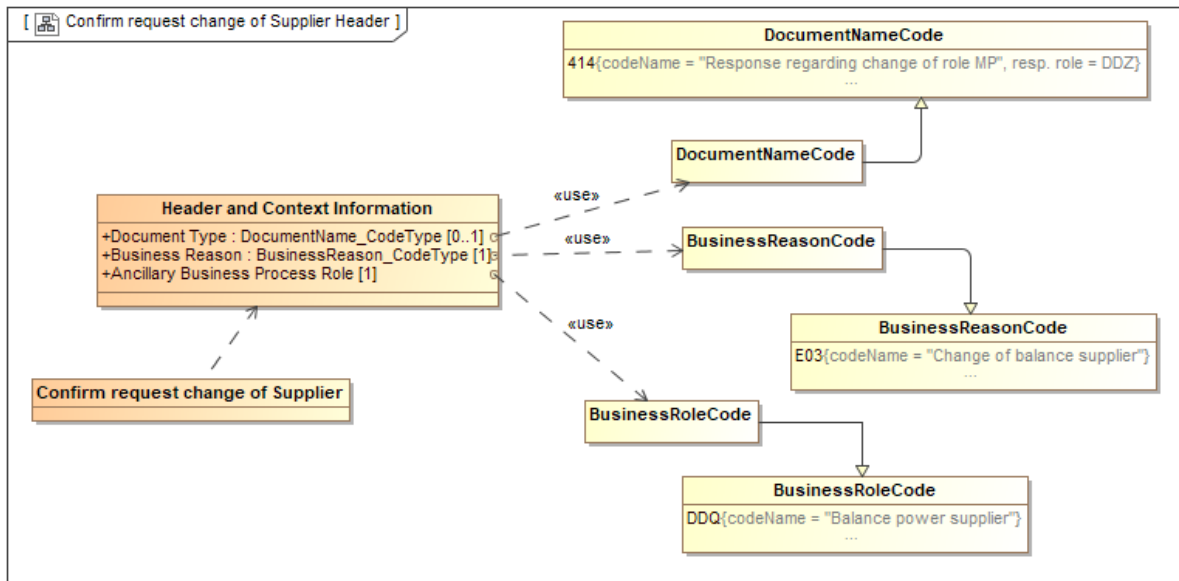


Figure 19 Class diagram: Header and Context information for confirm request change of Supplier

A.4. Reject request change of Supplier

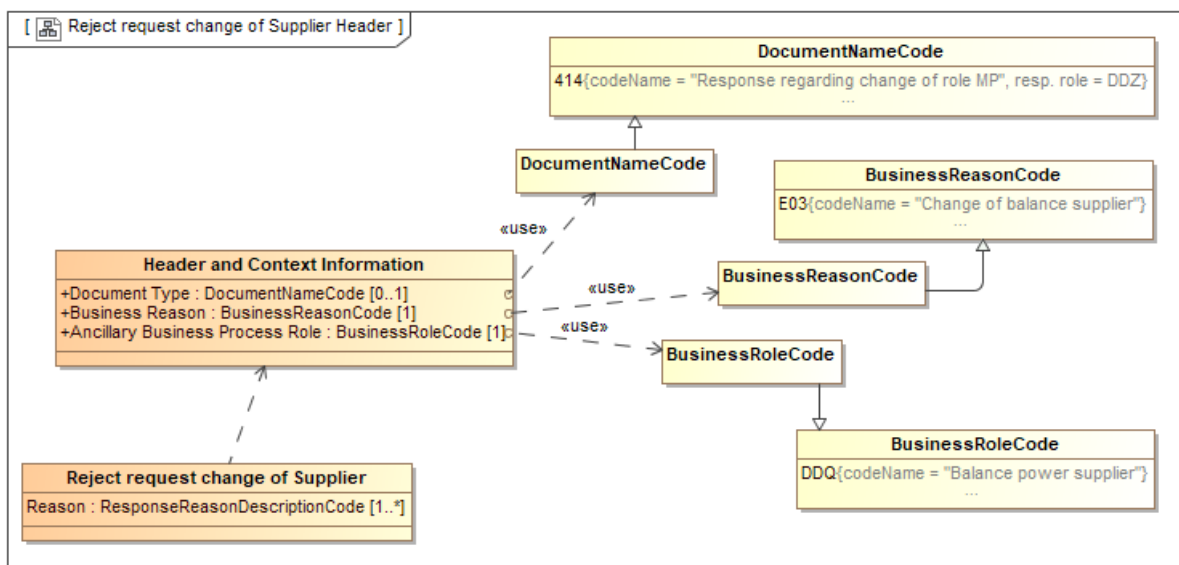


Figure 20 Class diagram: Header and Context information for reject request change of Supplier

A.5. Notify change of Supplier to Old Affected Party

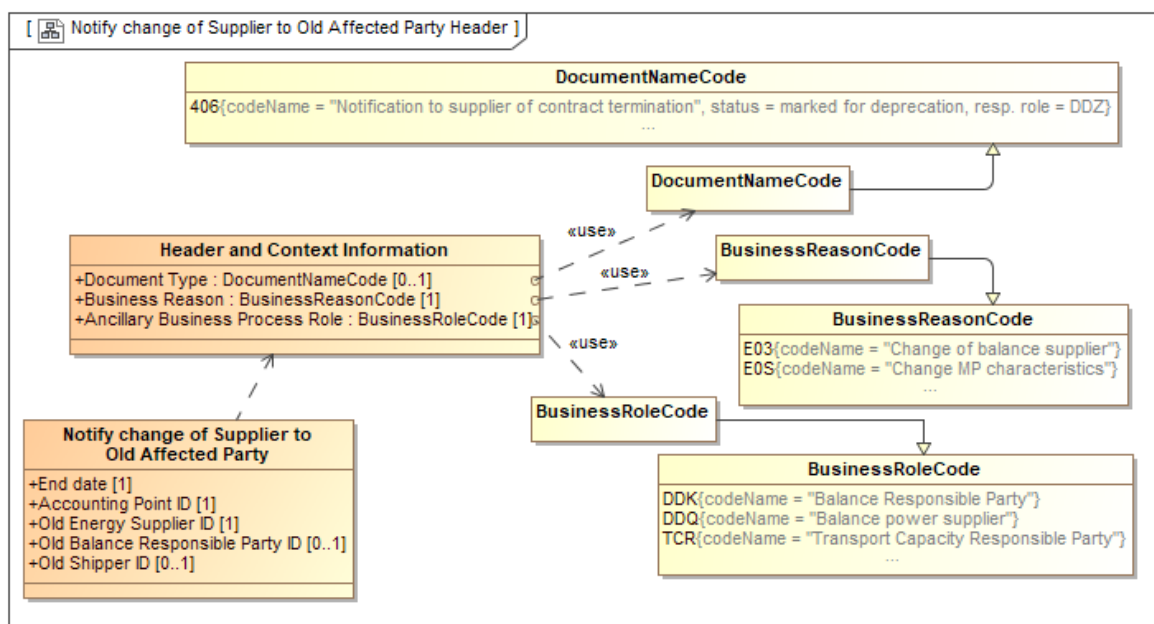


Figure 21 Class diagram: Header and Context information for notify change of Supplier to Old Affected Party

A.6. Notify change of Supplier to New- and Other Affected Party

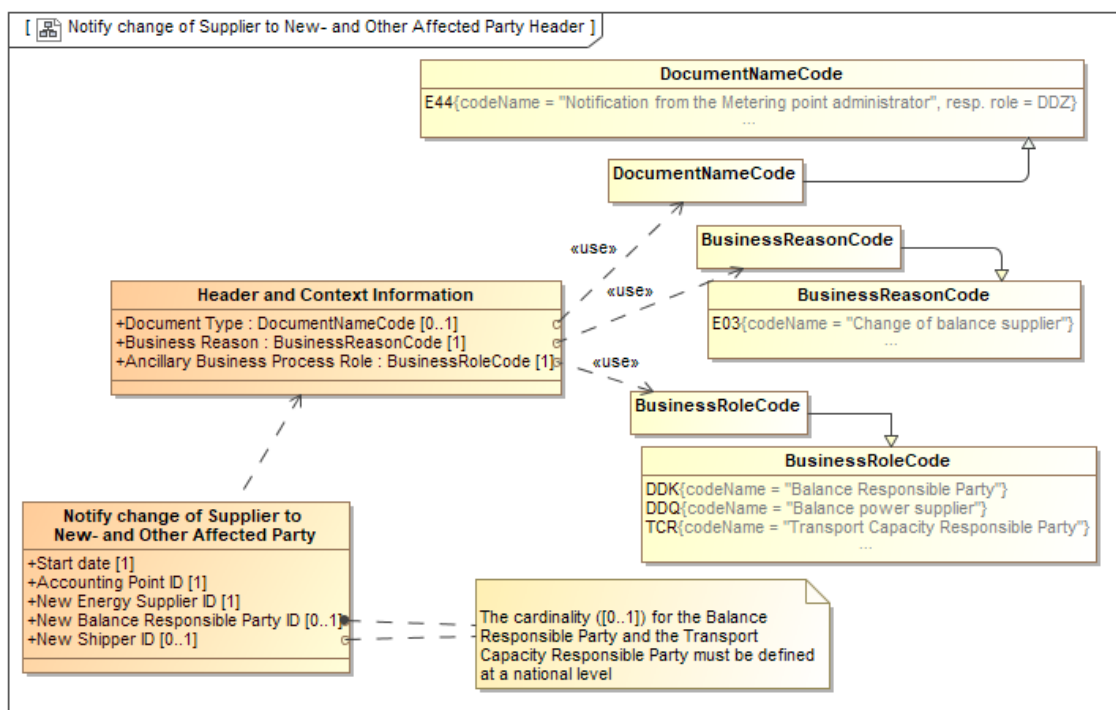


Figure 22 Class diagram: Header and Context information for notify change of Supplier to New- and Other Affected Party